



PAYMENT SCHEDULE - AUTHORITY AND DIRECTION TO GIVE BENEFITS TO INTRODUCING BROKER

This Payment Schedule sets out the types of monetary benefits that your Introducing Broker may be given by Saxo Capital Markets, on your behalf in relation to your Introducing Broker dealing in financial products on your behalf, or financial product advice given to you by your Introducing Broker.

By signing this Payment Schedule you consent to, authorise and direct Saxo Capital Markets to give the authorised benefits set out below to the Introducing Broker named below on your behalf in relation to the Introducing Broker dealing in financial products on your behalf or financial product advice given to you by the Introducing Broker.

You are not required to sign this Payment Schedule. If you decide not to sign this Payment Schedule, Saxo Capital Markets will be prohibited from giving the monetary benefits described in this schedule to the Introducing Broker to the extent that those monetary benefits are “conflicted remuneration” under Part 7.7A of the Corporations Act 2001 (Cth).

It is important that you understand the benefit(s) set out in the Client Directed Payment Table below, how they are calculated and how Saxo Capital Markets might pay those benefits to your Introducing Broker. Saxo Capital Markets makes thousands of financial products available for you and your Introducing Brokers’ trading purposes. This Table shows as a dollar amount (\$) and/or percentage (%), the payment per transaction that may be given to your Introducing Broker by Saxo Capital Markets. Your Introducing Broker should explain those payments to you so that you understand them before signing below. Rates and prices are made available to clients via the platform and can be viewed on (a) Trading Conditions via the “Accounts” tab or (b) Trade Ticket via Saxo Capital Markets’ platform, “SaxoTrader”.

Should the below table and rates still remain unclear to you; please refer to the following website www.saxomarkets.com.au/detailexamples for detailed examples and explanations prior to signing the payment direction declaration. Further, feel free to contact our Institutional Sales department for further clarification.

Should you have any questions, please contact our Institutional Sales department on +61 2 8267 9017 or InstSalesAU@saxomarkets.com.au.

CLIENT DIRECTED PAYMENT TABLE

Introducing Broker: Jarden Australia Pty Ltd **ABN:** 33 608 611 687

Physical Stocks		
Exchange	Symbol	Client Directed Commission
NASDAQ	NASDAQ & NSC	0.0125 USD/share (min. 12.38 USD)
New York Stock Exchange	NYSE & ARCA	0.0125 USD/share (min. 12.38 USD)
NYSE MKT	AMEX	0.0125 USD/share (min. 12.38 USD)
Toronto Stock Exchange	TSE	0.0375 CAD/share (min. 25 CAD)
TSX Venture Exchange	TSX	0.0375 CAD/share (min. 25 CAD)
Athens Exchange	AT	0.438% (min. 15 EUR)
BME Spanish Exchanges	SIBE	0.125% (min. 15 EUR)
Deutsche Börse (XETRA)	FSE	0.125% (min. 12.5 EUR)
Irish Stock Exchange	ISE	0.125% (min. 15 EUR)
Johannesburg Stock Exchange	JSE	0.313% (min. 125 ZAR)
London Stock Exchange	LSE_SETS	0.125% (min. 10 GBP)
London Stock Exchange (IOB)	LSE_INTL	0.125% (min. 25 USD)
Milan Stock Exchange	MIL	0.125% (min. 15 EUR)
NASDAQ OMX Copenhagen	CSE	0.125% (min. 81.25 DKK)
NASDAQ OMX Helsinki	HSE	0.125% (min. 15 EUR)
NASDAQ OMX Stockholm	SSE	0.125% (min. 81.25 SEK)
NYSE Euronext Amsterdam	AMS	0.125% (min. 15 EUR)
NYSE Euronext Brussels	BRU	0.125% (min. 15 EUR)
NYSE Euronext Lisbon	LISB	0.125% (min. 15 EUR)



NYSE Euronext Paris	PAR	0.125% (min. 12.5 EUR)
Oslo Stock Exchange	OSE	0.125% (min. 81.25 NOK)
Prague Stock Exchange	PRA	0.350% (min. 625 CZK)
SIX Swiss Exchange	SWX & VX	0.125% (min. 22.5 CHF)
Vienna Stock Exchange	VIE	0.125% (min. 15 EUR)
Warsaw Stock Exchange	WSE	0.375% (min. 93.75 PLN)
Australian Securities Exchange	ASX	0.125% (min. 8.7375 AUD)
Hong Kong Exchanges	HKEX	0.188% (min. 125 HKD)
Shanghai Stock Exchange	SSC	0.188% (min. 50 CNH)
Shenzhen Stock Exchange	SEC	0.188% (min. 50 CNH)
Singapore Exchange	SGX-ST	0.188% (min. 31.25 SGD)
Tokyo Stock Exchange	TYO	0.188% (min. 1875 JPY)

Bonds	
Market	Commission*
European Government Bonds	0.250% (min. 100 EUR)
US Government Bonds**	0.250% (min. 100 EUR)
European and US corporate bonds	0.250% (min. 100 EUR)
Emerging Markets bonds	0.250% (min. 100 EUR)

* or the equivalent if traded in another currency

** For US government bonds there is an additional external execution fee of 0.0004% embedded in the price.

Asset-based fee on borrowed amounts

An *AFS Licensee is prohibited from charging asset based fees on borrowed amounts that are to be used to acquire financial products by or on behalf of a client. Borrowed amounts can be through secured or unsecured methods, this includes, but is not limited to; credit facilities (personal loan/credit cards); margin lending facilities (Corporations Act: s964G (1)).

*Australian Financial Services Licensee, regulated by the Australian Securities & Investments Commission (ASIC)

Please confirm, by ticking the below box.

I/we confirm that the funds used for investment purposes to acquiring financial products via Saxo Capital Markets is not from a borrowed source as highlighted above, or similar method.

Authorisation and Payment Direction

- (a) I/we authorise and direct Saxo Capital Markets (Australia) Pty Ltd (“SCM”), and appoint SCM as my/our agent, to pay to the Introducing Broker named above, on my/our behalf, an amount in relation to each trade equal to the relevant Client Directed Ticket Fee, Client Directed Commission, Client Directed cents/share and Client Directed fee/lot calculated in accordance with the Client Directed Payment Table above and also paying the Monthly Account Maintenance Fee specified in the Client Directed Payment Table above to the Introducing Broker. This direction and appointment is conditional on SCM undertaking to me/us that it will pay this amount/these amounts to the Introducing Broker.
- (b) I/we acknowledge that Saxo Capital Markets might make new financial products available to me/us after the date of this authorisation and payment direction. To the extent that such new financial products are not of a kind described in Client Directed Payment Table above or the giving of authorised benefits has not been authorised and directed by me/us under this Payment Schedule, I/we acknowledge that Saxo Capital Markets may separately seek my/our consent to give further specified authorised benefits to the Introducing Broker on my/our behalf in relation to the Introducing Broker dealing in those new financial products on my/our behalf or financial product advice given to me/us by the Introducing Broker.
- (a) I/we authorise and direct Saxo Capital Markets (Australia) Pty Ltd (“SCM”), and appoint SCM as my/our agent, to pay to the Introducing Broker named above, on my/our behalf, an amount in relation Management Fee; Performance Fee and/or Flat/Fixed Fee as specified in the Client Directed Fee Table above to the Introducing Broker. This direction and appointment is conditional on SCM undertaking to me/us that it will pay this amount/these amounts to the Introducing Broker.



Client 1 Signature

Client 2 Signature

Client 1 Full Name

Client 2 Full Name

Date: _____

Date: _____